



021904

14202 U.S. PTO

PATENT

Docket No. IL-10692B

Commissioner for Patents  
Alexandria, VA 22313-1450

15535 U.S. PTO  
10/783230



021904

### NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of Inventor(s):  
**Alan F. Jankowski, Jeffrey D. Morse, Randy Barksdale**

For (title): **METHOD FOR FABRICATION OF ELECTRODES**

**1. Type of Application**

☐ This new application is for an original patent.

☒ This new application is a:

☒ Division

☐ Continuation

☐ Continuation-in-part (CIP)

**2. Benefit of Prior U.S. Application(s) (35 USC 120)**

☒ The new application being transmitted claims the benefit of prior  
U.S. application(s). 09/906,913 filed 7/16/2001

☒ Group/Art Unit: 1746

☒ Examiner of Prior Application: Bruce F. Bell

**3. ☐ Benefit under 35 U.S.C. 119(e) of United States provisional application(s)  
listed below:**

Application Serial No.

Filing Date

**4. Papers enclosed which are required for filing Date Under 37 CFR 1.53(b).**

7 Pages of specification, including title page

2 Pages of Claims

1 Pages of Abstract

3 Sheets of formal drawings

**5. Additional papers enclosed**

☐ Preliminary Amendment

☒ Express Mail Certificate

☒ Return Postcard

6. Declaration or oath

- ☒ Copy from a Prior Application enclosed and executed by
  - ☒ Inventors
  - ☐ legal representative of inventor(s) 37 CFR 1.42 or 1.43
- ☐ Not Enclosed

7. Assignment

- ☒ An assignment of the invention to The Regents of the University of California.
  - ☐ is attached
  - ☐ will follow
  - ☒ Previously filed

8. Certified Copy

Certified copy(ies) of application(s)

(country)	(application no.)	(filed)
(country)	(application no.)	(filed)

from which priority is claimed

- ☐ is(are) attached.
- ☐ will follow

9. Fee Calculation

CLAIMS AS FILED					
Type of Claim	Number Filed	Included in Basic Fee	Number Extra	Rate	Total Fee
Total Claims	9	-20 =	0	x \$18 =	\$ .00
Independent Claims	3	-3 =	0	x \$84 =	\$ .00
				Multiple Claims	= \$
				Basic Filing Fee	= \$ 770.00
				Sub-Total	= \$ 770.00
				Small Entity Filing Fee	= \$ 385.00

10. Small Entity Statement(s)

- ☐ Verified Statement that this is a filing by small entity under 37 CFR 1.9 and 1.27 is attached.
- ☒ Copy of Verified Statement that this is a filing by small entity under 37 CFR 1.9 and 1.27 filed in prior application. Status still proper and desired.

11. Fee Payment

- ☐ Not Enclosed
- ☒ Enclosed (See Charge Account Information Below)

Total Basic Filing Fees To Be Paid \$ 385.00


12. Method of Payment of Fees

- ☐ Check in the Amount of \$ \_\_\_\_\_
- ☒ Charge Account No. 12-0695 in the amount of \$ 385.00  
A duplicate of this transmittal is attached.

13. Instructions As To Overpayment/Underpayment

- ☒ credit/charge  
Account No. 12-0695
- ☐ refund

Reg No.: 47,741

  
\_\_\_\_\_  
Ann M. Lee  
ATTORNEY  
P.O. Box 808, L-703  
Livermore, CA 94551

Date: 2/11/04

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant :	Jankowski et al	Docket No. :	IL-10692B
Serial No. :		Art Unit :	
Filed :		Examiner :	
For :	Method for Fabrication of Electrodes		

Commissioner for Patents  
Alexandria, VA 22313-1450

**EXPRESS MAIL CERTIFICATE**

"Express Mail" label number EV108179736US

Date of Deposit 2/19/04

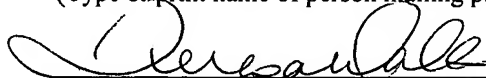
I hereby certify that the following *attached* correspondence comprising:

1. Cover Letter dated 2/19/2004
2. New Application Transmittal (original and copy)
3. IL-10692B Application (Specification with Title Page, Claims and Abstract (10 pages), Combined Declaration and Power of Attorney (3 pages, copy), Drawings (3 sheets)
4. Return Postcard

is being deposited with the United States Postal Service "Express Mail Post Office to addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop: Patent Application, Commissioner for Patents, Alexandria, VA 22313-1450

Teresa Walls

(Type or print name of person mailing paper)



(Signature of person mailing paper or fee)



# Lawrence Livermore National Laboratory

Intellectual Property Law Group  
(925) 422-7273

February 5, 2004

Commissioner for Patents  
Alexandria VA 22313-1450

Re: Divisional Application

"Method for Fabrication of Electrodes"  
Alan F. Jankowski et al  
Docket No. IL-10692B

Honorable Commissioner for Patents:

Please note that the claims in the attached divisional application correspond to the Election/Restriction dated : 8/19/2003 as follows:

<u>Restriction</u> <u>8/19/2003</u>	<u>IL-10692B</u> <u>Divisional Application</u>
10	1
11	2
12	3
13	4
14	5
15	6
16	7
17	8
18	9

Sincerely,

A handwritten signature in cursive script, reading "Ann M. Lee".

Ann M. Lee  
Assistant Laboratory Counsel

Enclosure: as noted